

FATCA/CRS Self-Certification Form for Controlling Person



PART I - FATCA Self Certification

Definitions applicable

The term U.S. person or United States person means a person described in section 7701(a) (30) of the Internal Revenue Code: The term “United States person” means—

A citizen or resident of the United States

Please check “/” Yes or No for each of the following questions:

- | | Yes | No |
|--|--------------------------|--------------------------|
| 1. Are you a U.S. Citizen? | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. Do you hold a U.S. Permanent Resident Card (Green Card)? | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. Are you a U.S. Resident? | <input type="checkbox"/> | <input type="checkbox"/> |
| 4. If you have ticked “No” to all three questions above, then please tick as: | <input type="checkbox"/> | Non-U.S. person |
| If you have ticked “Yes” to any of the questions from 1 - 3, please tick as:
Please fill up U.S. IRS form W9
(https://www.irs.gov/pub/irs-pdf/fw9.pdf?portlet=103) | <input type="checkbox"/> | U.S. person |

PART II – CRS Self Certification

Tax Residency

Please tick (/) one of the following :

- () i. I am only a tax resident of Malaysia and I have no other tax residency (ies) with other country (ies)
- () ii. I am a tax resident of Malaysia and I have other tax residency (ies) with other country (ies)
- () iii. I am not a tax resident of Malaysia but I have other tax residency(ies) with other country(ies)

If you have selected ii and iii above, please complete the following table:

For the purpose of taxation, I am a tax resident in the following countries and my Tax Identification Number (TIN) or an equivalent each country is set out below or I have indicated that a TIN or its equivalent is unavailable:

Reason for TIN Not Available:

Reason A - The jurisdiction where the account holder is a resident for tax purpose does not issue TINs to its residents.

Reason B - The account holder is unable to obtain a TIN or equivalent number. (Please explain why you are unable to obtain a TIN if you have selected this reason)

Reason C - TIN is not required. (Note: Only select this reason only if the domestic law of the jurisdiction does not require the collection of the TIN issued by such jurisdiction)

Country of tax residence	TIN	If no TIN is available, please tick (/) one the appropriate reason	If Reason B is selected, explain why TIN is not available
		<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	
		<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	
		<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	

PART III - Self Certification for Controlling Persons

Please enter the legal name of the relevant Entity Account Holder(s) of which you are a Controlling Person:

Legal name of Entity 1 _____
 Legal name of Entity 2 _____
 Legal name of Entity 3 _____

Please provide the type of Controlling Person for each of the Entities of which you are holding the capacity at (by ticking the appropriate box):

Controlling Person's Status	Entity 1	Entity 2	Entity 3
a. Controlling Person of a legal person – control by ownership			
b. Controlling Person of a legal person – control by other means			
c. Controlling Person of a legal person – senior managing official			
d. Controlling Person of a trust – settlor			
e. Controlling Person of a trust – trustee			
f. Controlling Person of a trust – protector			
g. Controlling Person of a trust – beneficiary			
h. Controlling Person of a trust – other			
i. Controlling Person of a legal arrangement (non-trust) – settlor-equivalent			
j. Controlling Person of a legal arrangement (non-trust) – trustee-equivalent			
k. Controlling Person of a legal arrangement (non-trust) – protector-equivalent			
l. Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent			
m. Controlling Person of a legal arrangement (non-trust) – other-equivalent			

Declaration and Signature
<p>I represent and declare that the information provided above is true, accurate and complete. I certify that I am the Controlling Person (or am authorized to sign for the Controlling Person) of all the account(s) held by the Entity Account Holder to which form relates.</p> <p>I understand that the term “U.S. person” means any citizen or resident of the United States.</p> <p>I hereby consent to Muamalat Invest Sdn Bhd, its parent or ultimate holding company (collectively “MISB”) disclosing the financial accounts information to regulatory authorities in which this account(s) is / are maintained and exchanged with tax authorities of another country / jurisdiction in which I/the Controlling Person may be tax resident pursuant to intergovernmental agreements to exchange financial account information in accordance with the requirements of the Foreign Account Tax Compliance Act and Common Reporting Standard as may be stipulated by applicable laws, regulations, agreements or regulatory guidelines or directives.</p> <p>I hereby agree that MISB may classify me as reportable account and/or suspend, recall or terminate my account(s) and/or facilities granted to me, in the event I fail to provide accurate and complete information and/or documentation as the Bank may require.</p> <p>I hereby agree that MISB may withhold from my account(s) such amounts in accordance with the provisions of Foreign Account Tax Compliance Act or as may be stipulated by applicable laws, regulations, agreement or regulatory guidelines or directives.</p> <p>I undertake to notify MISB in writing within 30 calendar days of any change in circumstances which affects the tax residency status of the individual identified in this form or causes the information contained herein to become incorrect or incomplete, and to provide the Bank with a suitable updated self-certification and Declaration within 30 days of such change in circumstances.</p>

Signature*: _____
 Name*: _____
 New IC Number /
 Passport No _____
 Date (dd/mm/yyyy)*: _____
 Capacity: _____

(Indicate the capacity if you are not the Controlling Person. If signing under a Power of Attorney, attached a certified copy of the Power of Attorney)

Disclaimer:
Muamalat Invest Sdn Bhd will not provide any tax advisory and the individual/entity to seek consult his/her/its tax or legal advisor on FATCA and CRS.