

FATCA/CRS Self-Certification Form for Entity

Entity Name: _____

Part 1 – Jurisdiction of Residence and Taxpayer Identification Number (TIN)/ CRS Self Certification			
<p>(A) Are you ONLY a Malaysian tax resident? *</p> <p>(i) If yes, please tick this box and skip Part B. <input type="checkbox"/></p> <p>(ii) If no, please tick this box and proceed to fill in the table in Part B below. <input type="checkbox"/></p> <p>(B) Complete the following table indication :</p> <p>(i) the jurisdiction of residence where the account holder is a resident for tax purposes (including Malaysia) and</p> <p>(ii) the account holder's TIN for each jurisdiction indicated. Indicate All jurisdictions of residence.</p>			
<p>If a TIN is unavailable, indicate which of the following reasons is applicable:</p> <p>Reason A – The jurisdiction where the account holder is a resident for tax purpose does not issue TINs to its residents.</p> <p>Reason B – The account holder is unable to obtain a TIN or equivalent number. (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason).</p> <p>Reason C – TIN is not required. (Note: Only select this reason only if the domestic law of the jurisdiction does not require the collection of the TIN issued by such jurisdiction)</p>			
	Jurisdiction of Tax Residence	TIN	If no TIN available, indicate Reason A, B or C
1			
2			
3			
<p>Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason B above.</p> <p>1</p> <p>2</p> <p>3</p>			
<p><i>Note: (1) if the Entity is not a tax resident of any jurisdiction, please indicate above (line 1) its place of effective management or jurisdiction in which its principal office is located.</i></p> <p><i>(2) if the account holder is a resident for tax purpose in more than three jurisdictions, please use separate sheet.</i></p>			

Entity Residence Status in US / FATCA Self Certification	
<p>The Entity is incorporated, established, constituted or organized in the United States of America.</p> <p><input type="checkbox"/> Yes. Please complete and submit U.S. IRS Form W9 (https://www.irs.gov/pub/irs-pdf/fw9.pdf) AND proceed to Part 2B for Financial Institution (CRS classification) OR Part 3 for Non-Financial Entity.</p> <p><input type="checkbox"/> No. Please proceed to Part 2A and 2B for Financial Institution OR Part 3 for Non-Financial Entity.</p>	
Part 2 - Financial Institution (FI)	
<p>Part 2A: FATCA Classification (Please fill up only either Section a, b, c or d)</p> <p>Note: Please skip Part 2A if you have ticked 'Yes' if the Entity is incorporated, established, constituted or organized in the United States of America.</p>	
a.	<p>The Entity is a Participating Foreign Financial Institution.</p> <p>- Please provide Global Intermediary Identification Number (GIIN)</p> <p style="text-align: center;"> <input style="width: 20px; height: 15px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 15px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 15px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 15px; border: 1px solid black;" type="text"/> </p>
<p><input type="checkbox"/> Participating FFI (non-IGA)</p> <p><input type="checkbox"/> Reporting Model 1 FFI</p> <p><input type="checkbox"/> Reporting Model 2 FFI</p> <p><input type="checkbox"/> Registered deemed-compliant FI</p>	
b.	<p><input type="checkbox"/> The entity is a Non-Participating Foreign Financial Institution</p>
Non-Participating FFI	
c.	<p><input type="checkbox"/> The entity is a Non-Reporting IGA Foreign Financial Institution</p>
Non-Reporting IGA FFI	
d.	<p><input type="checkbox"/> Other types of Foreign Financial Institutions. <i>Please complete and submit U.S IRS Form W8BENE (https://www.irs.gov/pub/irs-pdf/fw8bene.pdf)</i></p>

Part 2B: CRS Classification (Mandatory for Financial Institutions – choose one of the following only)

Note: Please complete even if you have ticked 'Yes' if the Entity is incorporated, established, constituted or organized in the United States of America.

e.	<p>Financial Institution</p> <p><input type="checkbox"/> It is an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution. <i>(Please complete Part 4 – Controlling Person if ticking this box)</i></p> <p><input type="checkbox"/> Other investment entity</p> <p><input type="checkbox"/> It is a Depository Institution, Custodial Institution or Specified Insurance Company</p>
----	----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

Part 3 – Non-Financial Entity (NFE) – please choose one only from the following list		FATCA/CRS Status
1	<p><input type="checkbox"/> Active Business</p> <ul style="list-style-type: none"> - Less than 50% of the NFE/NFFE's gross income for the preceding calendar year or other appropriate reporting period is passive income (please refer to Appendix for definition) and; - Less than 50% of the assets held by the NFE/ NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income. 	Active NFFE / Active NFE
2	<p><input type="checkbox"/> Start Up Company</p> <ul style="list-style-type: none"> - Date of incorporation is not more than 24 months from the date of this form; - The NFE/NFFE is not yet operating a business and has no prior operating history; and - Investing capital into assets with the intent to operate a business other than that of a financial institution. 	Active NFFE / Active NFE
3	<p><input type="checkbox"/> Charity/Non-Profit Organisation</p> <ul style="list-style-type: none"> - The Entity is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic or educational purposes that is <u>exempt</u> from income tax in its country of residence OR it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labour organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare that is <u>exempt</u> from income tax in its country of residence; - It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; - The applicable laws of the NFE/NFFE's jurisdiction of residence or the NFE/NFFE's formation documents do not permit any income or assets of the NFE/NFFE to be distributed to or applied for the benefit of a private person or non-charitable entity other than pursuant to the conduct of the NFE/NFFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE/NFFE has purchased; and - The applicable laws of the NFE/NFFE's jurisdiction of residence or the NFE/NFFE's formation documents require that, upon the NFE/NFFE's liquidation or dissolution, all of its assets be distributed to a Governmental Entity or other non-profit organization, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision thereof. 	Active NFFE / Active NFE
4	<p><input type="checkbox"/> Non-financial entity in liquidation or emerging from bankruptcy</p> <ul style="list-style-type: none"> - The NFE/NFFE–was not a Financial Institution in the past five years - Is in the process of liquidating its assets or is re-organising with the intent to continue or recommence operations in a business other than that of a Financial Institution. 	Active NFFE / Active NFE

5	<p>Publicly Listed Company or its Related Entity</p> <p><input type="checkbox"/> -The stock of the NFE/NFFE is regularly traded on an established securities market, - The name of the securities exchange upon which the stock is regularly traded is _____</p> <p><input type="checkbox"/> - The NFE/NFFE is a Related Entity of an Entity the stock of which is regularly traded on an established securities market. - The name of the related entity, the stock of which is regularly traded on an established securities market, is _____ and - The name of the securities market on which the stock is regularly traded is _____.</p>	Active NFFE / Active NFE
6	<p><input type="checkbox"/> Holding NFEs that are members of a nonfinancial group - Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution; and - the Entity does not function (or hold itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes.</p> <p><input type="checkbox"/> Treasury Centres that are members of a nonfinancial group - the NFE/NFFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions; and - does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution.</p>	Active NFFE / Active NFE
7	<p><input type="checkbox"/> Exempt Beneficial Owners - The NFE/NFFE is a Governmental Entity, an International Organisation, a Central Bank, or an Entity wholly owned by one or more of the foregoing.</p>	Active NFFE / Active NFE
8	<p><input type="checkbox"/> Passive NFFE / NFE Entity which does not fulfill any of the Active NFFE / NFE classifications in Part 3, Items 1-7 above. (Please complete Part 4 – Controlling Person if ticking this box)</p>	Passive NFFE / Passive NFE
Part 4 – Controlling Person		
<p>Indicate the name(s) of all Controlling Person(s) of the entity and complete the following table below :</p> <p>1. _____</p> <p>2. _____</p> <p>3. _____</p> <p>4. _____</p> <p>Note:</p> <p>(1) For entity account holder which is an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution (Part 2e of the Form); OR Passive NFFE/NFE (Item 8, Part 3 of the Form) only.</p> <p>(2) Customer to complete the FATCA/CRS Controlling Person Self Declaration Form for each of the Controlling Person that name listed in the above listing.</p> <p>(3) If there is no natural person(s) who exercise control of the account holder then the Controlling Person will be the natural person(s) who hold the position of senior managing official.</p>		

Declaration and Signature	
<p>I/We represent and declare that the information provided above is true, accurate and complete. I/We understand that the term "U.S. person" means any citizen or resident of the United States.</p> <p>I/We certify that I/We are authorised to sign for the Account Holder in respect of all the account(s) to which this form relates.</p> <p>I/We hereby consent to Muamalat Invest Sdn Bhd, its parent or ultimate holding company (collectively "MISB") disclosing the financial accounts information to regulatory authorities in accordance with the requirements of the Foreign Account Tax Compliance Act and Common Reporting Standard as may be stipulated by applicable laws, regulations, agreement or regulatory guidelines or directives.</p> <p>I/We hereby agree that MISB may withhold from my/our account(s) such amounts in accordance with the provisions of the Foreign Account Tax Compliance Act or as may be stipulated by applicable laws, regulations, agreement or regulatory guidelines or directives.</p> <p>I/We hereby agree that MISB may classify me/us as a reportable account(s) or non-participating foreign financial institution ("NPFFI") and/or suspend, recall or terminate my/our account(s) and/or facilities granted to me/us, in the event I/We fail to provide accurate and complete information and/or documentation as MISB may require.</p> <p>I/We undertake to notify MISB in writing within 30 calendar days if there is a change in any information which affects the tax residency status of the Account Holder identified in this form or causes the information contained herein to become incorrect or incomplete (including any changes to the information on controlling persons identified in Part 4) which I/We have provided to MISB. I/We agree to provide an updated self-certification form, including for controlling persons (if applicable), to MISB within 30 days of any such changes.</p>	
Signature*:	_____
Name*:	_____
Business Registration Number:	_____
Date (dd/mm/yyyy)*:	_____
Capacity:	_____ <i>(Please indicate the capacity in which you are signing the form. If signing under a Power of Attorney, please also attached a certified copy of the Power of Attorney)</i>

Disclaimer:

Muamalat Invest Sdn Bhd will not provide any tax advisory and the individual/entity to seek consult his/her/its tax or legal advisor on FATCA and CRS.